

**AGENDA ITEM 4**  
**AUDIT RESOLUTION STATUS**  
**OPEN FINDINGS BY DIVISION AND DATE**  
**AS OF JUNE 30, 2008**

Attachment 2A

Responsibility	Issue Date	Audit Activity	Total
Employer Services	10/19/07	Review of the Employer Reporting Process	1
		<b>Total</b>	<b>1</b>
Fiscal Services	01/11/05	Self Funded Health Plans Cash Flow	1
	12/21/07	Review of Internal Controls SAM 20060 (FISMA) 2006	3
		<b>Total</b>	<b>4</b>
Health Benefits Branch	05/07/04	Review of Health Care Fund Cash Flow	4
	05/26/04	Review of Self-Funded Health Plan Administration	1
	08/12/04	Health Insurancy Portability and Accountability Act (HIPAA)	1
	01/11/05	Self Funded Health Plans Cash Flow	1
	10/20/06	HIPAA Security Compliance	4
	04/16/07	Review of Retroactive Health Benefit Terminations	3
		<b>Total</b>	<b>14</b>
Human Resources Services	12/21/07	Review of Internal Controls SAM 20060 (FISMA) 2006	1
		<b>Total</b>	<b>1</b>
Information Security Office	05/03/00	Follow up Review of Information Security Corrective Actions	1
	08/19/02	Review of Information Security	1
	12/22/03	Review of Internal Controls SAM 20060 (FISMA)	1
	12/21/04	Data Ownership	1
	08/05/05	Enterprise-Wide User Access Control	1
	09/30/05	Review of Internal Controls SAM 20060 (FISMA)	1
	07/31/06	UNIX Operating System Security Review	3
	10/20/06	HIPAA Security Compliance	32
	12/21/07	Review of Internal Controls SAM 20060 (FISMA) 2006	9
		<b>Total</b>	<b>50</b>

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Responsibility	Issue Date	Audit Activity	Total
Information Technology Services	08/28/03	Review of Configuration Management	1
	08/12/04	Software Management Review	3
	05/23/05	System Backup and Archival Process Review	2
	08/05/05	Enterprise-Wide User Access Control	2
	09/30/05	Review of Internal Controls SAM 20060 (FISMA)	1
	10/26/05	PeopleSoft Review	1
	04/26/06	Data Center Move	1
	07/31/06	UNIX Operating system Security Review	2
	10/20/06	HIPAA Security Compliance	3
	07/23/07	Operational Recovery Planning Process	7
	12/21/07	Review of Internal Controls SAM 20060 (FISMA) 2006	6
	05/03/08	Configuration Management Review	13
		<b>Total</b>	<b>42</b>
Investment Office	08/02/07	Global Public Markets External Investment Manager Review	1
	11/13/07	Alternative Investment Management External Parter Review -2006	1
	12/21/07	State Street Client Specific Review	2
		<b>Total</b>	<b>4</b>
Operations Support Services	12/21/07	Review of Internal Controls SAM 20060 (FISMA) 2006	8
	06/23/08	Review of Building Manager's Administrative Billings	6
		<b>Total</b>	<b>14</b>
		<b>Grand Total</b>	<b>130</b>